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# Increasing the net environmental benefit of nanomaterials: Lessons from the design and production of ligand-stabilized gold nanoparticles

Jim Hutchison, University of Oregon  
hutch@uoregon.edu

Net environmental benefits: Importance of performance  
Greener syntheses of precision nanoparticles  
Design for net benefit

# The promise of nanotechnology for society often relies on maximizing the net environmental benefit

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Abundant clean energy

Efficient energy storage and use

Access to drinkable water for all

Advanced diagnostics and therapies

Sustainable chemical production

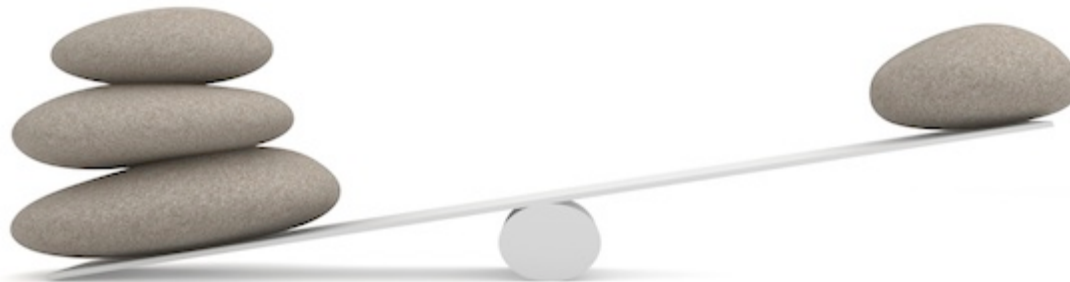
Health hazards

Waste

Pollution

Resource depletion

Climate change



# Description of net environmental benefit



## Nano-Enabled Products

$$\text{Impact-Benefit Ratio (IBR)} = \frac{\text{Upstream Impacts}}{\text{Downstream Benefits}}$$

- < 1** ✓ Pursue Development and Implementation  
*Realization of downstream benefits outweigh production impacts*
- = 1** Net Neutral Impact
- > 1** ✗ No Added Value, Redesign  
*Production impacts outweigh realization of downstream benefits*

$$\text{Impact-benefit ratio} = \frac{\text{Life cycle impacts}}{\text{Functional performance benefits}}$$

L.M. Gilbertson, et al. *Environ. Sci. Technol.* **2014**, 48, 11360–11368.

L.M. Gilbertson, et al. *Chem. Soc. Rev.* **2015**, 44, 5758-5777.

*Performance matters!* The impacts of what?

BOTH benefits and impacts need to be compared to alternatives

# Example: Gold nanoparticles from green tea?

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*A simple, economic, and environmentally benign experimental route...*

*...to synthesize gold nanoparticles using tea leaves in an aqueous media at room temperature...*

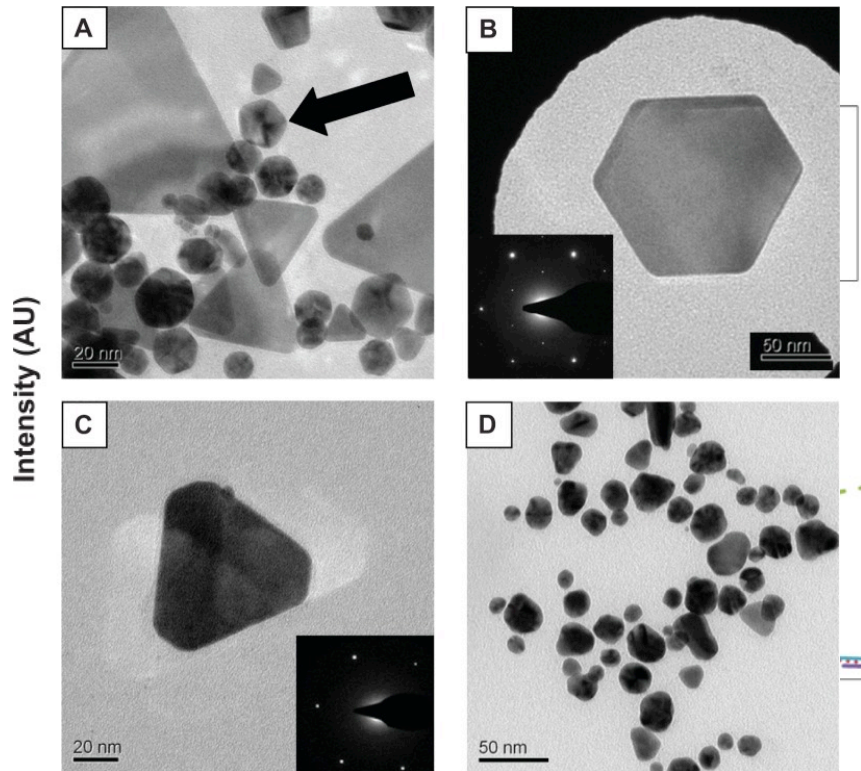
*... single-step method circumvents the use of surfactant, capping agent, or template and follows several principles of green chemistry.*



*J. Chem. Educ.* **2012**, 89,  
1316 – 1318.



# Nanoparticle synthesis, structure and performance



Do these features  
influence the desired  
performance?

Size, shape, dispersity

Dispersibility

Targeting groups

*Int J Nanomedicine* **2014**, 9, 4007–4021

Different syntheses, different structures, different (?) performance

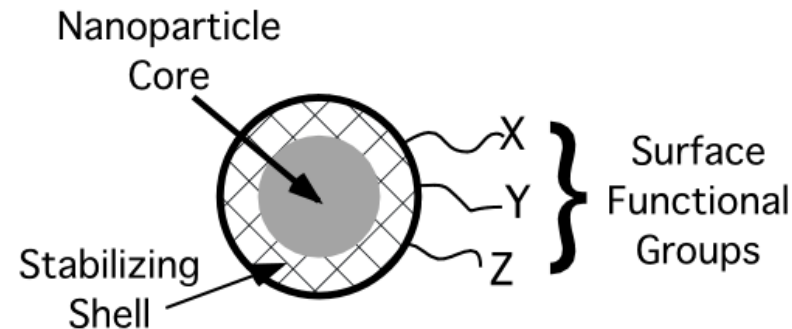
# Many studies and applications require specific properties/performance

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Where is precision synthesis needed?

Size-dependent or surface properties

- Next-generation applications
- NanoEHS studies
- Commercialization



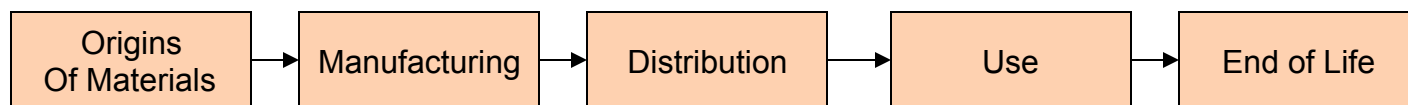
Size, shape and dispersity: optical, electronic, catalytic, biologic

Stabilizing shell: biocompatibility, dispersibility, processing

Surface functional groups: targeting, self-assembly, linking

*Define performance needed – Design to maximize net benefit*

# Greener nano, safer nano, sustainable nano...



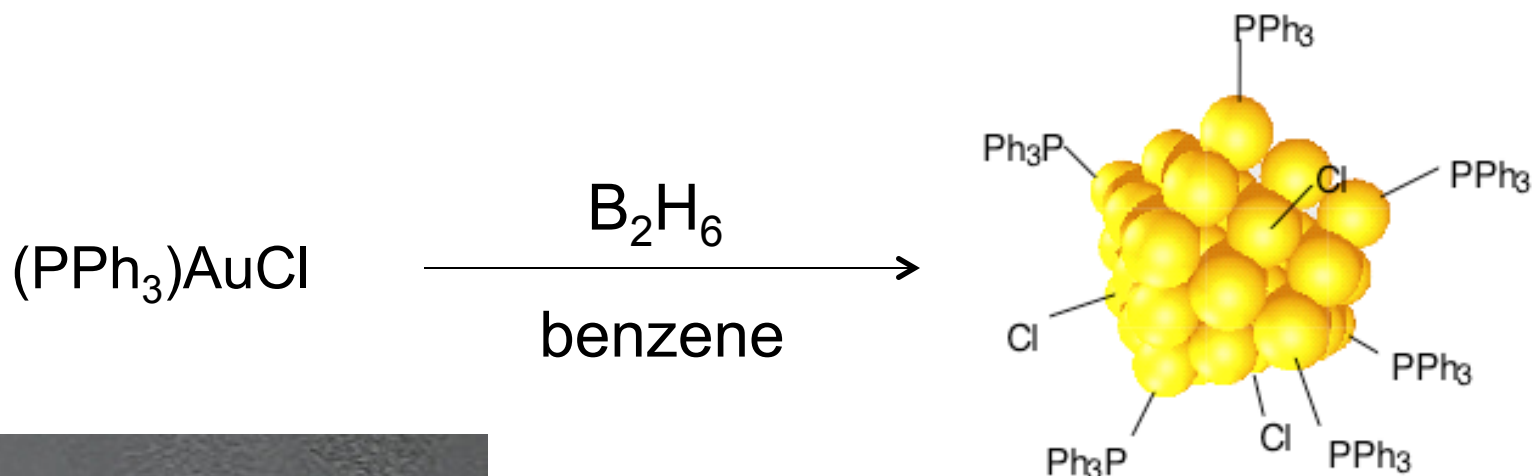
*Apply 12 principles of green chemistry to  
reduce hazards and  
minimize impacts of production  
across the value chain and lifecycle of nanomaterials*

Molecular-level design to maximize net environmental  
benefits (in the context of alternatives)

McKenzie and Hutchison "Green nanoscience," *Chemistry Today*, **2004**, 30  
Dahl, J.A.; Maddux, B. L. S.; Hutchison, J. E. *Chem. Rev.* **2007**, 107, 2228  
Hutchison, J.E. *ACS Nano* **2008**, 2, 395-402



# Early precision synthesis of gold nanoparticles...effective, but not so safe/green



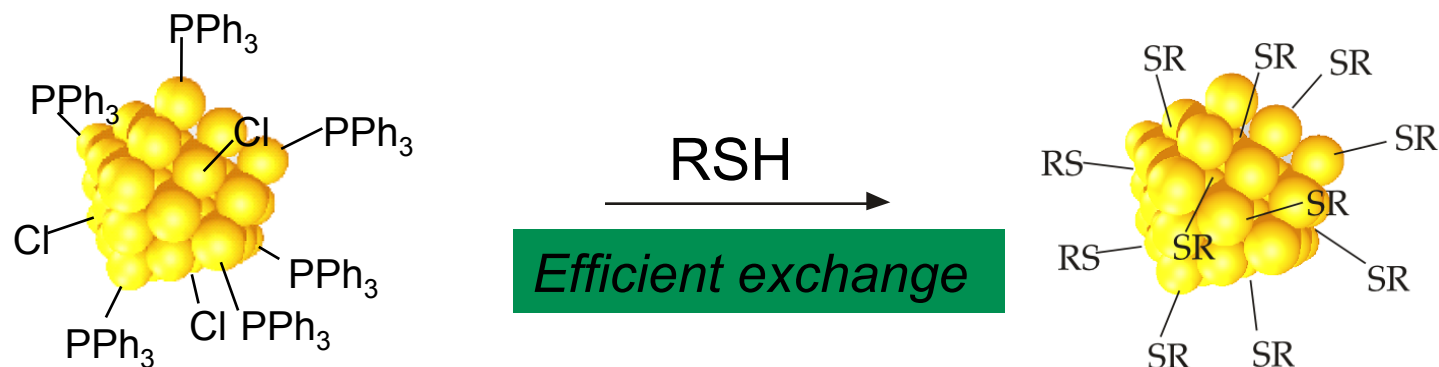
Schmid, G. *Inorg. Synth.* **1990**, 27, 214.



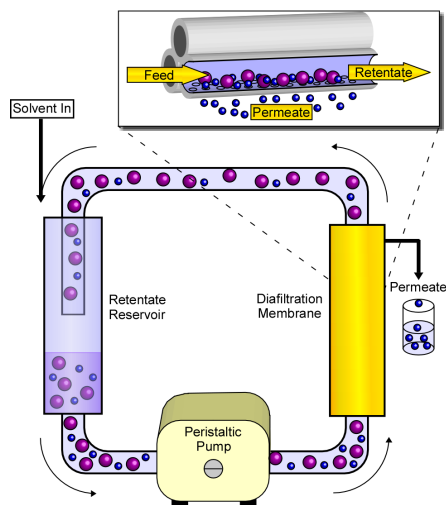
Hazardous, wasteful, low yields, batch-to-batch variation, impure samples (or unknown purity), little mechanistic knowledge to guide synthesis, expensive...

# Tipping the balance: Precision nanoparticles can be prepared using greener approaches

*Greener reagents, solvent*



*J. Am. Chem. Soc.* **2000**, 122, 12890; *Inorg. Syn.* **2004**, 34, 228; *J. Am. Chem. Soc.* **1997**, 119, 12384; *J. Phys. Chem. B* **2002**, 106, 9979

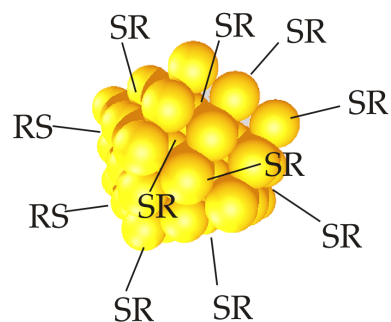


*Rapid, effective  
purification*

*J. Am. Chem. Soc.*  
**2006**, 128, 3190.

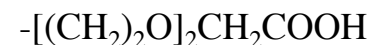
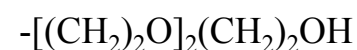
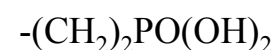
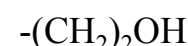
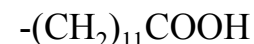
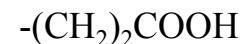
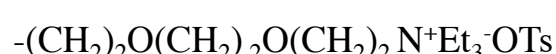
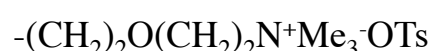
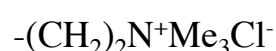
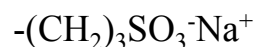
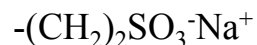
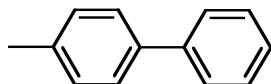
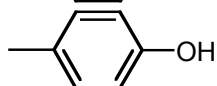
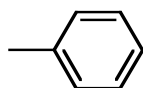
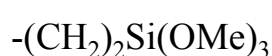
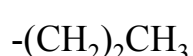
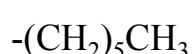
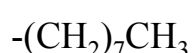
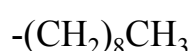
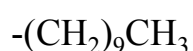
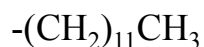
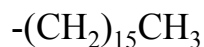
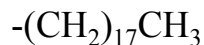
Greener,  
cheaper,  
faster,  
better

# Precise core sizes and surface coatings can be prepared and characterized



Core  $d =$   
0.8 and 1.5 nm  
(and a few  
other sizes)

R =



**TEM/SAXS** - size and dispersity

**UV/vis** - crude size, aggregation

**NMR** - small molecule contamination

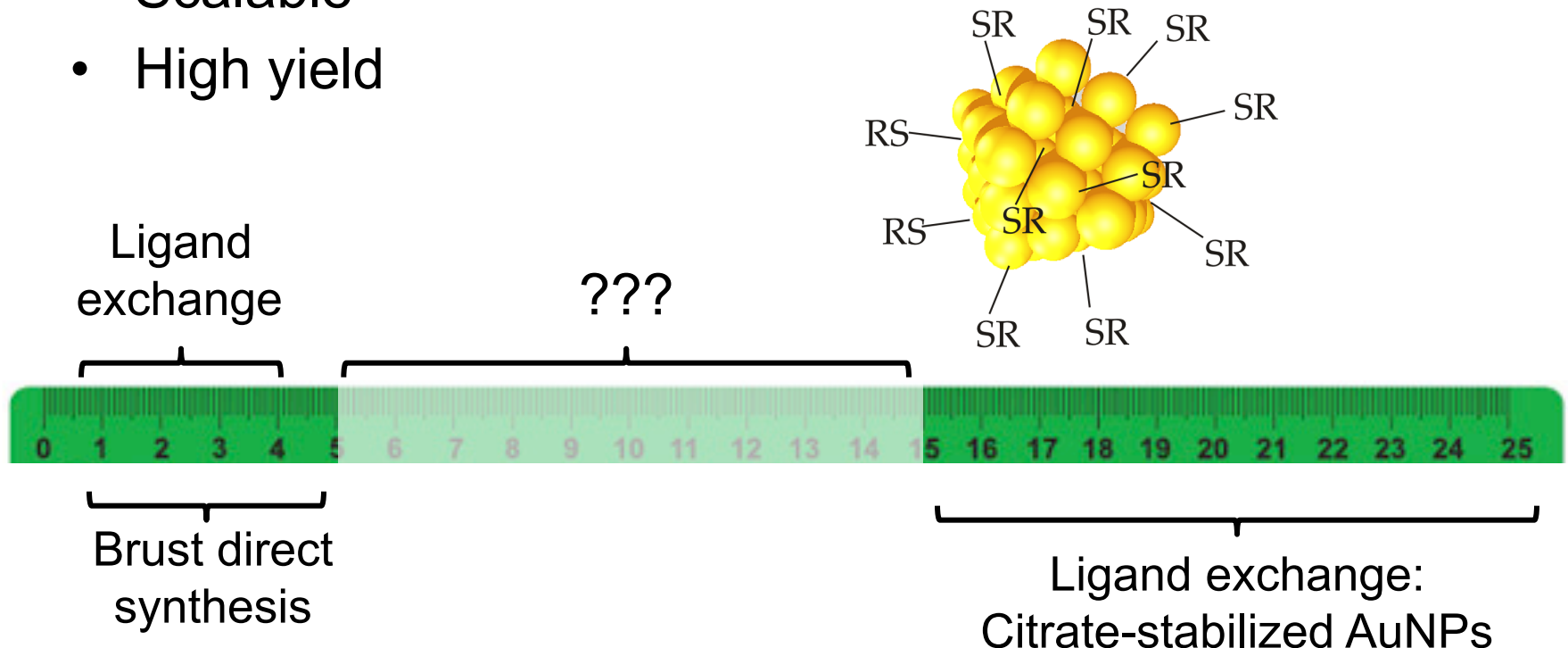
**TGA** - ligand/core ratio

**XPS or EA** - elemental composition

# Direct synthesis should be greener – eliminates waste and purification steps

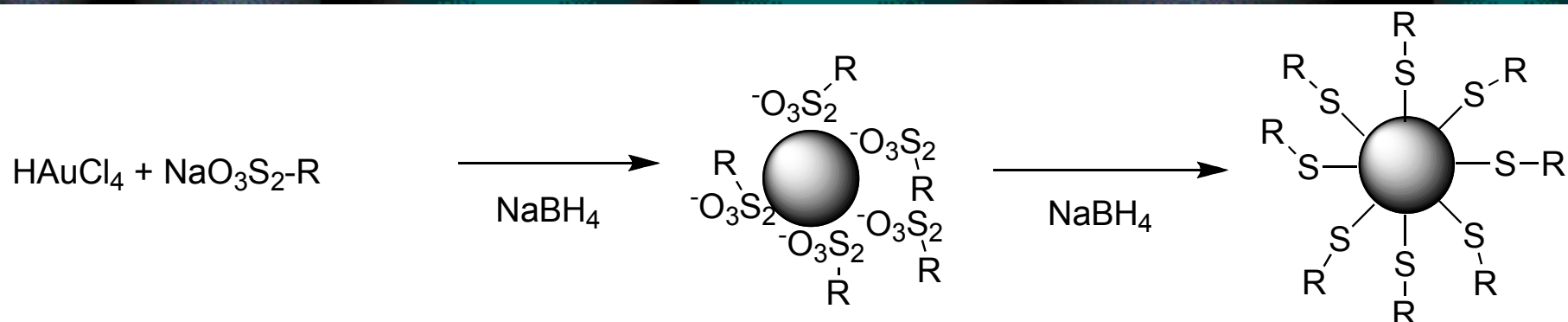
Target attributes for a versatile direct synthesis of AuNPs

- Precise control of core size, adjustable over 1-15 nm
- Precise control of coatings, including mixtures
- Reproducible
- Scalable
- High yield





# Flow production of precision thiol-stabilized AuNPs

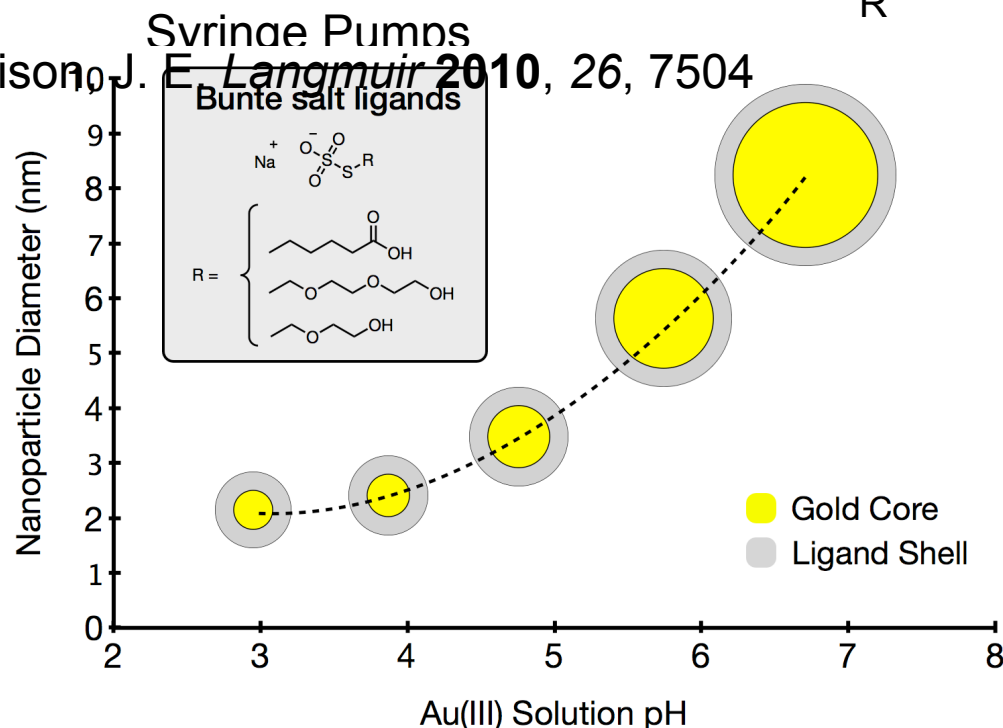


Lohse, S. E.; Dahl, J. A.; Hutchison, J. E. *Langmuir* **2010**, *26*, 7504  
 pH gold speciation

2.91	$[\text{AuCl}_{2.91}(\text{OH})_{1.09}]$
4.01	$[\text{AuCl}_{2.46}(\text{OH})_{1.54}]$
6.16	$[\text{AuCl}_{1.09}(\text{OH})_{2.91}]$
8.01	$[\text{AuCl}_{0.67}(\text{OH})_{3.33}]$
10.35	$[\text{AuCl}_{0.10}(\text{OH})_{3.90}]$

Huang, W.; et al. *J. Phys. Chem. C* **2009**, *113*, 6505.

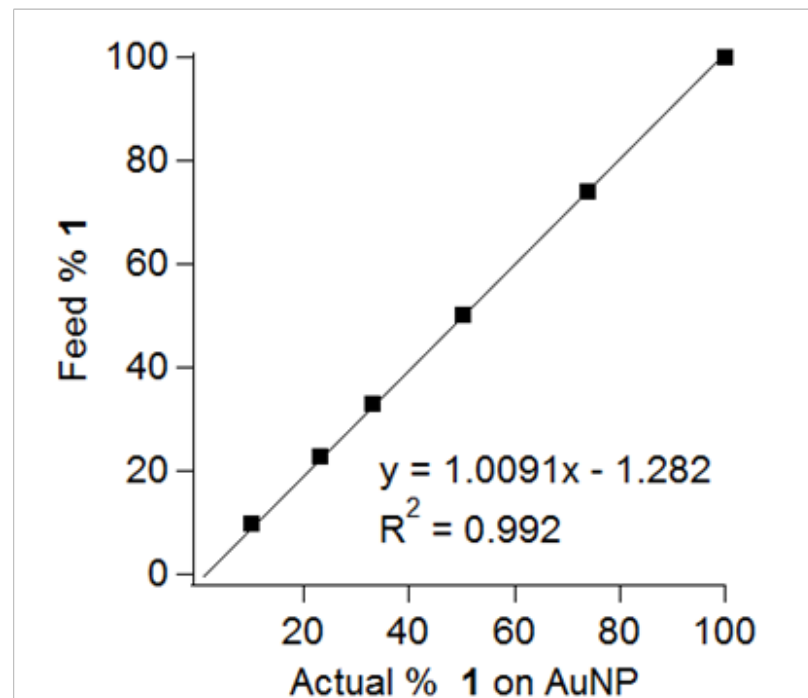
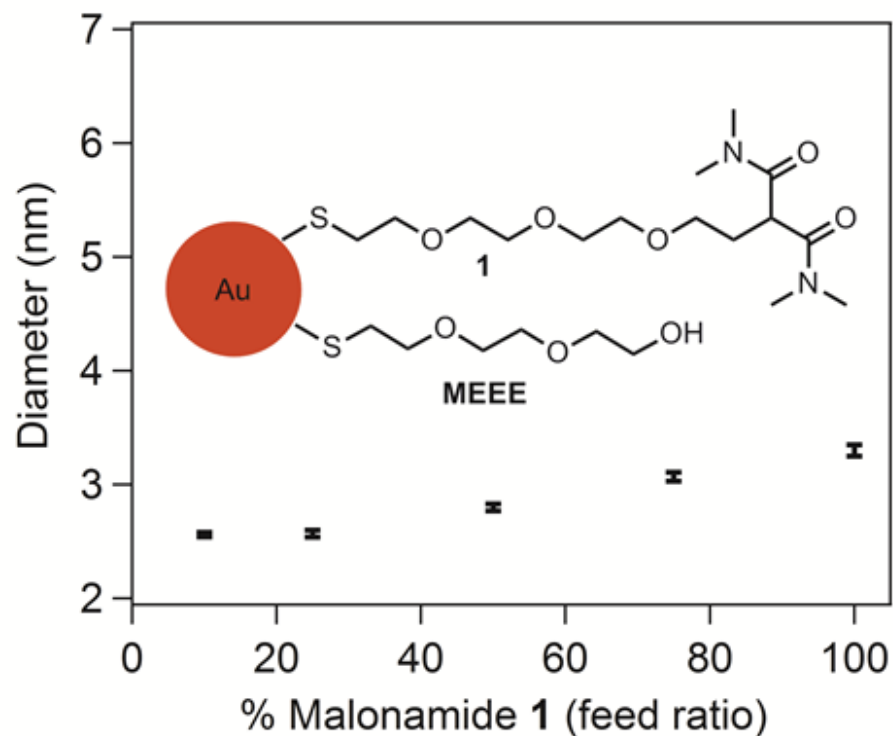
[1:5:2] ratio of  
 Ligand :  $\text{HAuCl}_4$  :  $\text{NaBH}_4$



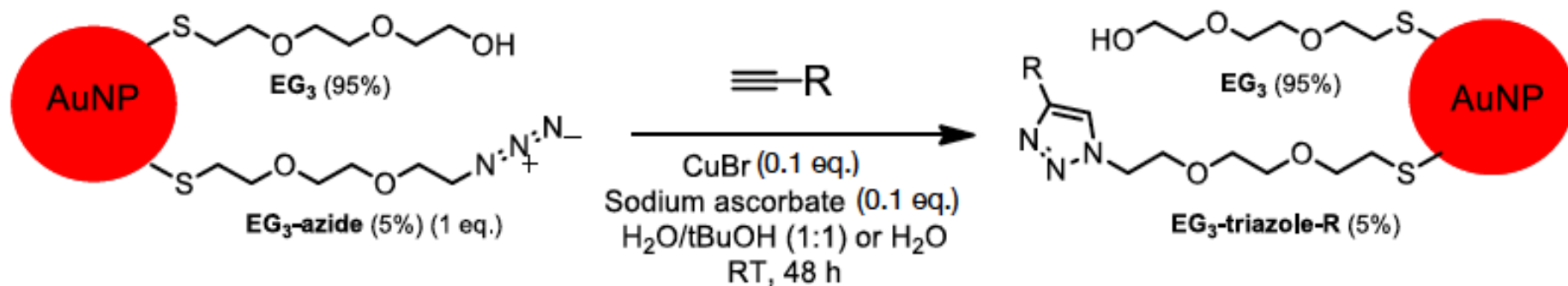
Haben, P.M.; Elliott, E.W.; Hutchison, J.E. *Langmuir*, **2015**, ASAP.



# With the right linker, it is possible to precisely control ligand shell composition



# Nanoparticle “reagents” can be used to couple diverse, precise building blocks



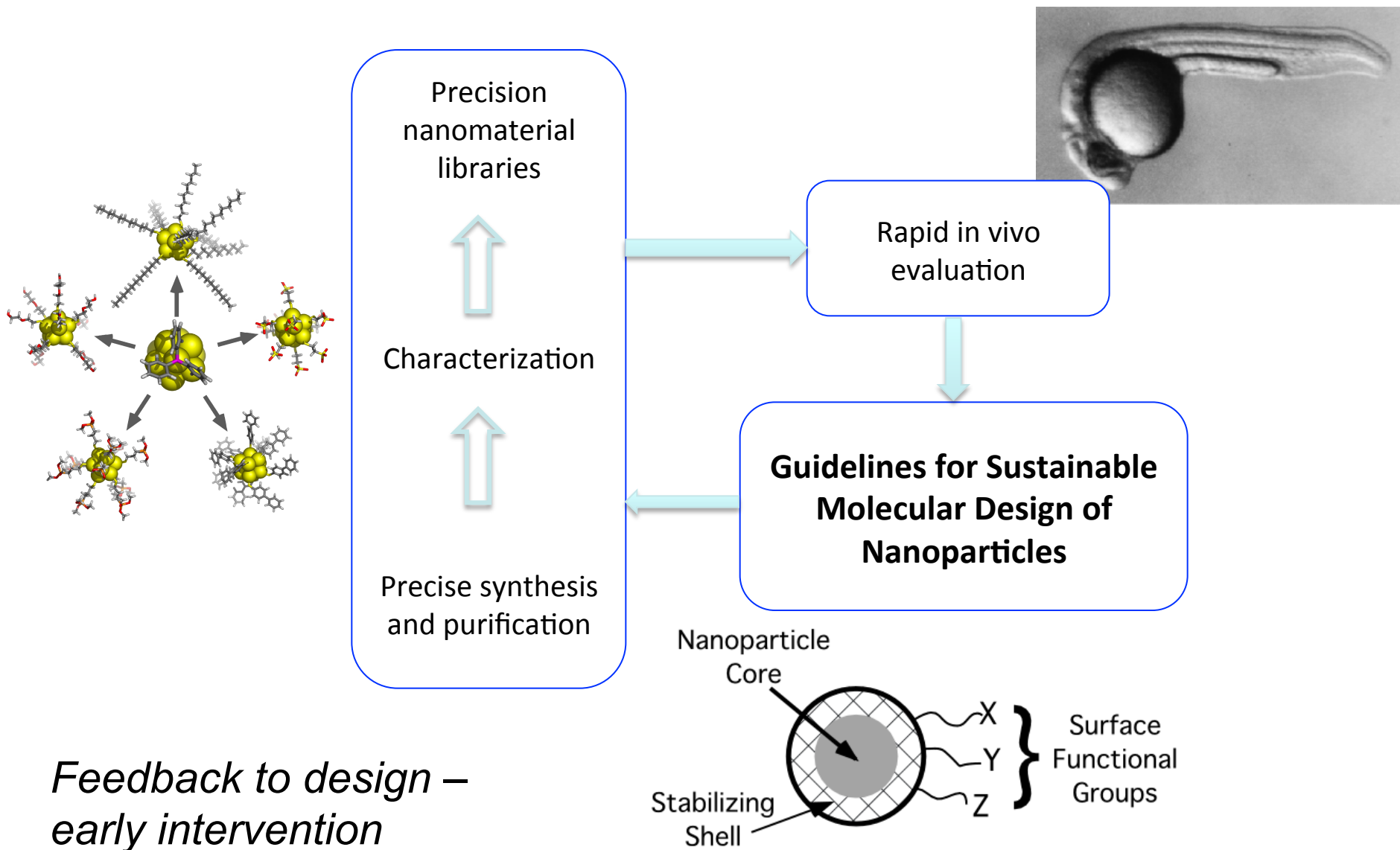
Core Diameter =  $3.4 \pm 0.4$  nm

Ligand Shell Composition  
EG<sub>3</sub>-azide / EG<sub>3</sub> (5:95) AuNPs

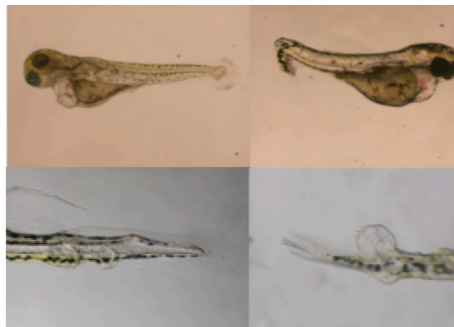
Average Chemical Formula  
 $\text{Au}_{1580}(\text{EG}_3)_{171}(\text{EG}_3\text{-azide})_9$

Average Molecular Weight  
 $3.41 \times 10^5$  g/mole

# An iterative approach to developing design guidelines for inorganic nanoparticles



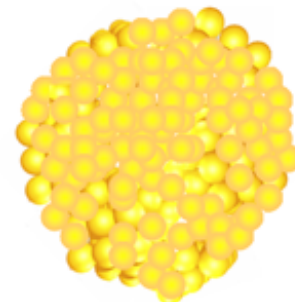
# Example: Design principles from a systematic study of biological impacts of nanoparticles



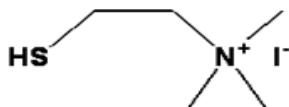
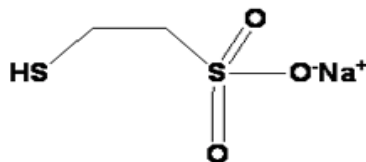
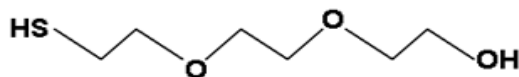
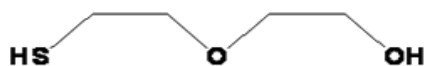
**0.8 nm**  
11 Au Atoms  
10 ligands



**1.5 nm**  
101 Au Atoms  
30-35 ligands



**10 nm**  
37,000 Au Atoms  
1400 ligands



malformations	malformations	NA
toxic	toxic	?

# Summary

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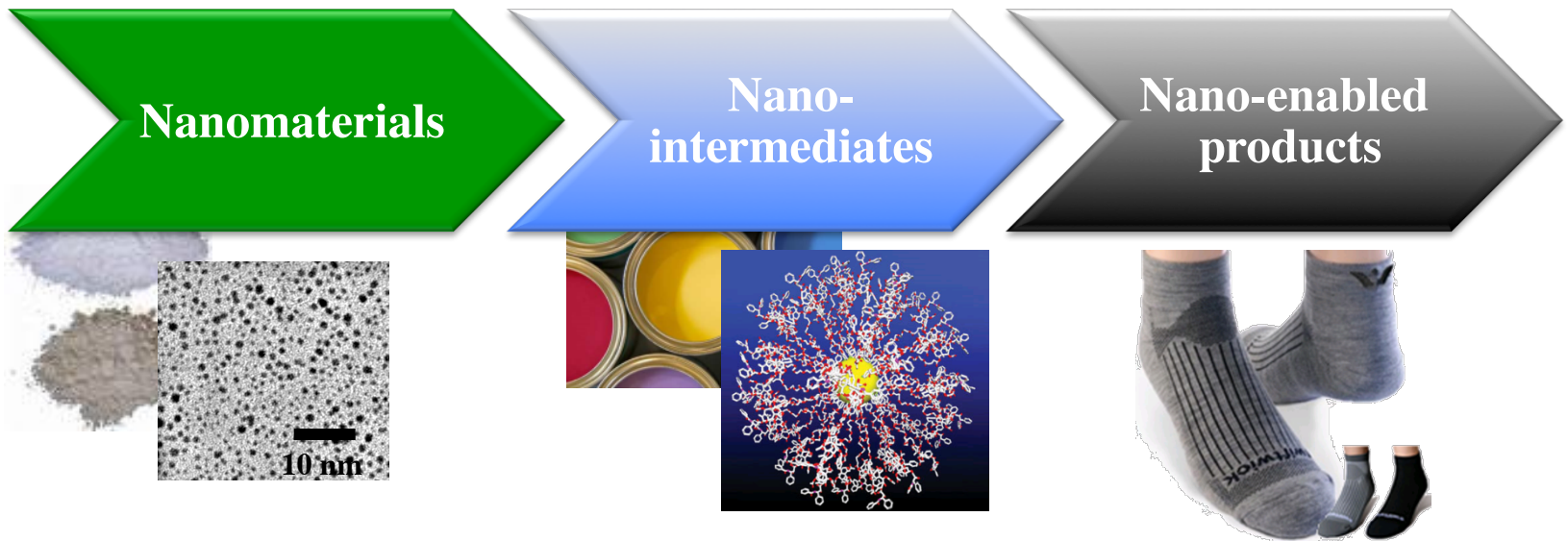
## Greener syntheses of precision nanoparticles

- Precise control over core and surface coating for AuNPs – greener, scalable, cheaper
  - New reagents and solvents
  - New purification methods
  - New reaction chemistries
  - New (flow) reactors

## Design to enhance net environmental benefit

- Design for maximum performance
- Design for reduced impacts
- Consideration of lifecycle impacts
- Compare to nano and non-nano alternatives

# What about nano-enabled products?

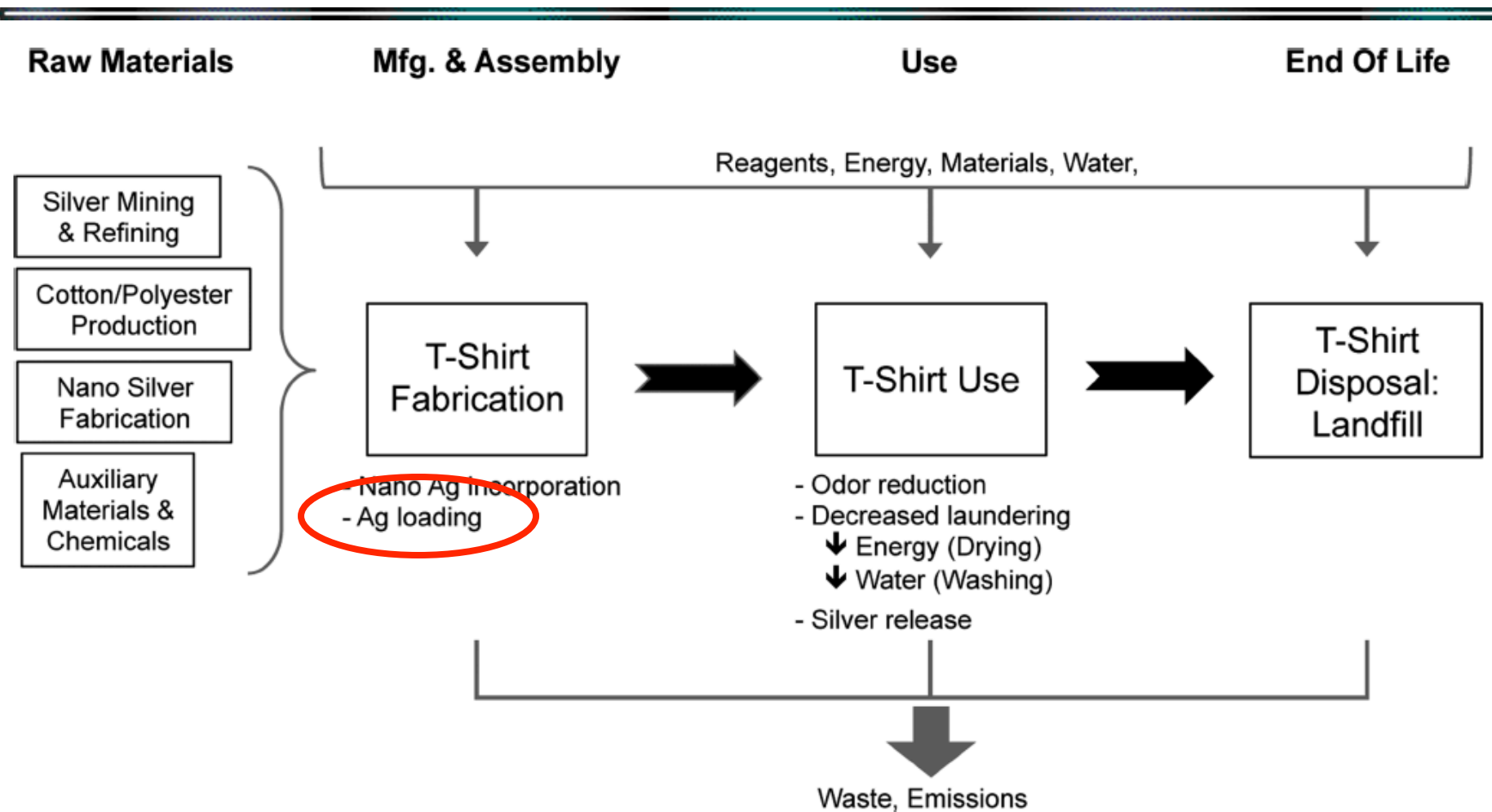


Lux Research, 2007

Typically NPs will be used in (or on) consumer products

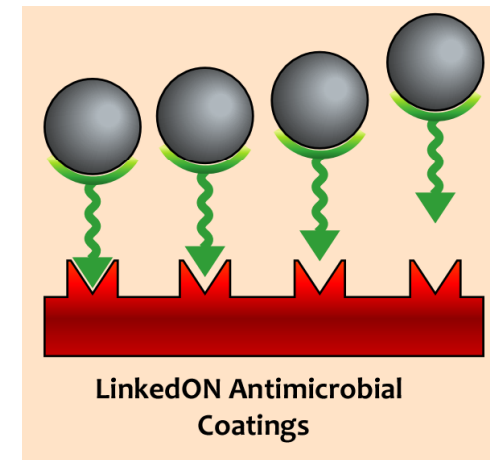
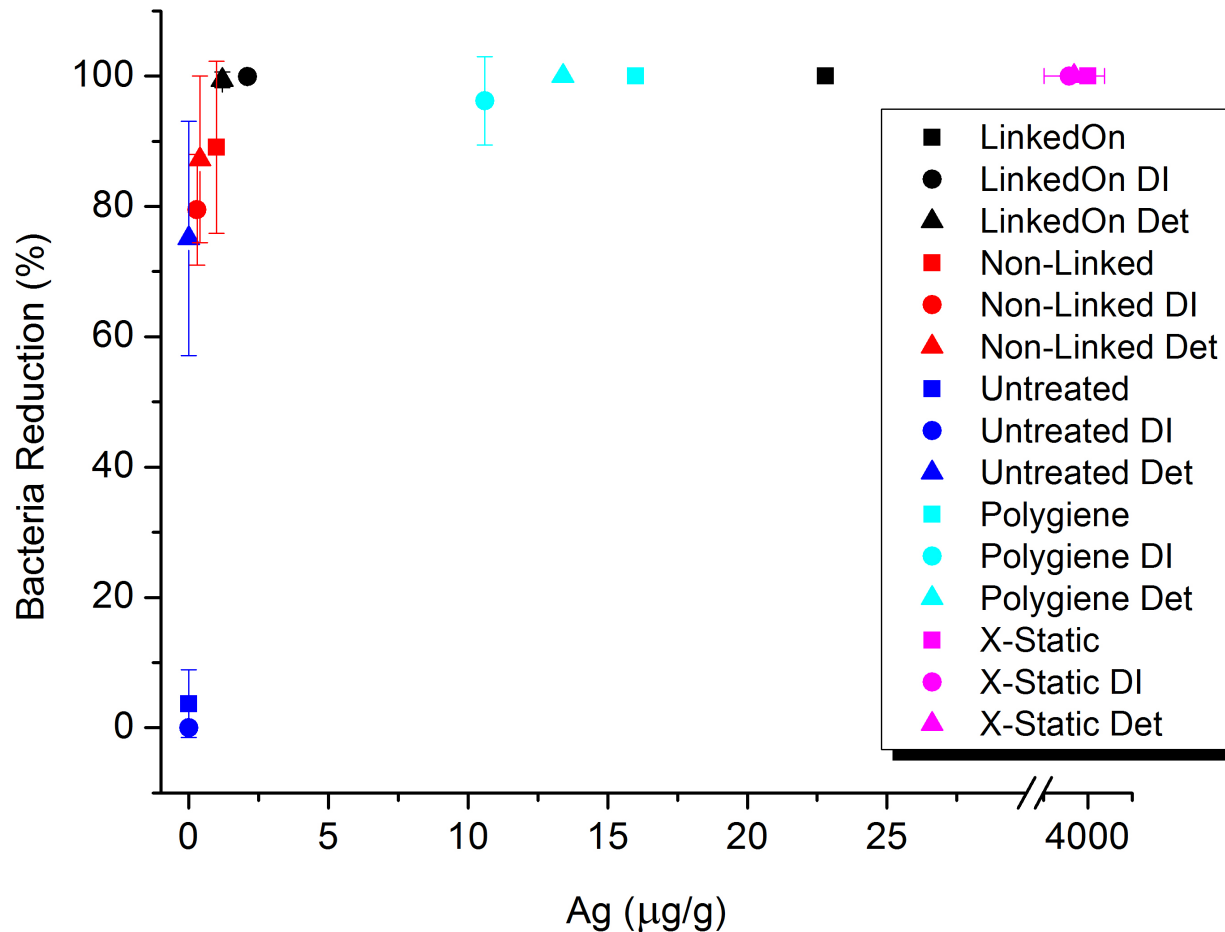
- What are the impacts of nano-enabled products?
- What is the reactivity of NPs bound to surfaces?
- Can the answers to these questions provide feedback for better (greener) designs?

# Lifecycle thinking - use minimum effective silver to maximize the net environmental benefit



“Life Cycle Payback Estimates of Nanosilver Enabled Textiles under Different Silver Loading, Release, and Laundering Scenarios Informed by Literature Review,” A. L. Hicks et al. *Environ. Sci. Technol.* **2015**, 7529–7542.

# Lower Ag loadings decrease impacts while maintaining high performance



In preparation, LCnano team





W. M. KECK FOUNDATION